



MCX Circular No. MCX/MCXCCL/494/2024

July 25, 2024

MCXCCL Circular no.: MCXCCL/COMP/182/2024

Submission of Group or Associate details by Clearing Members

We refer to SEBI Circular No SEBI/HO/MRD/MRD-PoD-3/P/CIR/2024/65 dated May 29, 2024 relating to Norms for acceptable collaterals and exposure of Clearing Corporations. As per Clause 3.6 of the SEBI Circular “CCs shall not accept collateral including FDs, BGs, equity, or debt securities issued by CMs/TMs themselves or their group or associate entities. A Declaration to that effect will be given by CMs/TMs mentioning all their group/associate entities to CCs.”

In reference to the SEBI Circular, all Clearing Members are required to submit the details of their Group or Associate entities along with the declaration in the format prescribed in **Annexure A**. The said details are required to be submitted in excel on the email id membership@mcxccl.com with the subject line: **Submission of Group or Associate details by Clearing Members** with effect from August 01, 2024.

Further, members are required to ensure that:

1. The complete list of groups and associate entities and the Security or Debt Instrument listed on Stock Exchange by the member are submitted to the Clearing Corporation. In case of NIL associates, the same is also required to be mentioned in the submission.
2. Members are requested to ensure that they do not submit any collateral including FDs, BGs, equity, or debt securities issued by themselves or their group or associate entities to the Clearing Corporation.
3. Members are required to abide by the restrictions imposed vide SEBI circular no SEBI/HO/MRD/MRD-PoD-3/P/CIR/2024/65 dated May 29, 2024 with respect to acceptance of collaterals as specified under the head prudential norms for exposure of CCs and more particularly with respect to para 3.6 under the said head.
4. Any change in Clearing Members group or Associate Entities (including ISIN, Symbol etc.) pursuant to the initial submission, shall be informed to the Clearing Corporations within 7 working days of the occurrence of the change. The members shall be required to re-submit the complete details after incorporating the changes.

----- Corporate office -----
Multi Commodity Exchange Clearing Corporation Limited
Exchange Square, CTS No. 255, Suren Road, Chakala, Andheri (East), Mumbai – 400 093
Tel.: 022 – 68646000 Fax: 022 – 67269558 CIN: U74999MH2008PLC185349
www.mcxccl.com email: customersupport@mcxindia.com



Further, for this purpose, 'associate' shall have the same meaning as defined under Regulation 2 (b) of Securities Contracts (Regulation)(Stock Exchanges And Clearing Corporations)Regulations, 2018.

In case of non-submission of data or discrepancies in data submission Clearing Corporations reserves the right to take Regulatory Action on the Members.

The members are required to comply with the above circular.

For and on behalf of

Multi Commodity Exchange Clearing Corporation Limited

Sugandhee Gupta

Sr. Manager-Compliance



ANNEXURE A

[Member Company's Letterhead]

Declaration with respect to exposure to instruments of TM/CM's Own Groups

I/We, [Name], [Designation], of [Member Name], hereby declare and confirm as on date that:

1. The Annexure 1 to this declaration indicates the details of our groups and associate entities.
2. We have not accepted collateral including FDs, BGs, equity, or debt securities issued by CMs/TMs ourselves or our group or associate entities.
3. We undertake and agree to abide by the restrictions imposed vide SEBI circular no SEBI/HO/MRD/MRD-PoD-3/P/CIR/2024/65 dated May 29, 2024 with respect to acceptance of collaterals as specified under the head prudential norms for exposure of CCs and more particularly with respect to para 3.6 under the said head.

This declaration is submitted in compliance of the SEBI circular no SEBI/HO/MRD/MRD-PoD3/P/CIR/2024/65 dated May 29, 2024.

Yours faithfully

Authorised Signatory/Compliance Officer

Signature & Company Stamp

Name : _____

Email id : _____

Contact no : _____



Annexure 1

Part A –Guidelines for Data Submission

The file Format with headers and columns shall be as follows:

CM Code	CM Name	CM PAN	Entity Details (CM Own/ CM own associates/ TM own/ TM associates)	TM Code	TM Name	TM PAN	Name of group/ associates	PAN details of group/ associates	Type of instrument Security / Debt Instrument BG/FD	ISIN of Security or Debt Instrument listed on Stock Exchange by the member	Symbol for Listed instrument	Name of listed instrument	Bank Code	Compliance Officer Name Email Id and Contact No. of the member
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15

1. In case of more than one record of group/associate, the Member code, Member Name, Member PAN field shall be repeated for each entry added.
2. Do not change sequence of columns/headers.

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